

## Unit #3 Notes – First & Second Derivative Tests

Match each term below with its correct definition.

### Important Terms:

Critical Points	<u>C</u>
Absolute (Global) Maximum	<u>I</u>
Absolute (Global) Minimum	<u>F</u>
Relative (Local) Maximum	<u>H</u>
Relative (Local) Minimum	<u>E</u>
Function is Increasing	<u>B</u>
Function is Decreasing	<u>J</u>
Function is Concave Up	<u>G</u>
Function is Concave Down	<u>D</u>
Points of Inflection	<u>A</u>

### Definitions:

- ~~A.~~ function changes concavity/zeros of second derivative
- ~~B.~~ derivative is positive
- ~~C.~~ zeros of derivative or where derivative DNE
- ~~D.~~ second derivative is negative
- ~~E.~~ the point a graph changes from decreasing to increasing
- ~~F.~~ minimum of entire interval
- ~~G.~~ second derivative is positive
- ~~H.~~ the point a graph changes from increasing to decreasing
- ~~I.~~ maximum of entire interval
- ~~J.~~ derivative is negative

### THE FIRST DERIVATIVE TEST

Suppose that  $c$  is a critical number of a continuous function  $f$ :

- If  $f'$  changes from positive to negative at  $c$ , then  $f$  has a local maximum at  $c$ .
- If  $f'$  changes sign from negative to positive at  $c$ , then  $f$  has local minimum at  $c$ .
- If  $f'$  does not change signs at  $c$ , then  $f$  has no local extreme value at  $c$ .
- At left endpoint  $a$  - If  $f' < 0$  for  $x > a$ , then  $f$  has a local maximum at  $a$ . If  $f' > 0$  for  $x > a$ , then  $f$  has a local minimum at  $a$ .
- At right endpoint  $b$  - If  $f' < 0$  for  $x < b$ , then  $f$  has a local minimum at  $b$ . If  $f' > 0$  for  $x < b$ , then  $f$  has a local maximum at  $b$ .

### SECOND DERIVATIVE ANALYSIS

- If  $f'' > 0$  then the function is concave up
- If  $f'' < 0$  then the function is concave down

### SECOND DERIVATIVE TEST

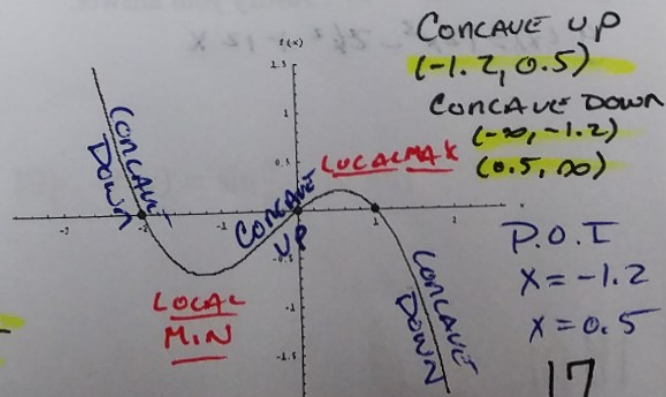
Suppose that  $c$  is a critical number of a continuous function  $f$ :

- If  $f'(c) = 0$  and  $f''(c) < 0$ , then  $f$  has a local max at  $x = c$
- If  $f'(c) = 0$  and  $f''(c) > 0$ , then  $f$  has a local min at  $x = c$
- If  $f''(c) = 0$  or does not exist, the test fails. (When this happens, defer to the 1st derivative test.)

EX1) Given the graph of  $f'$ , identify the following for  $f$ :

1. Maximums
2. Minimums
3. Intervals increasing/decreasing
4. Inflection points
5. Concavity

$f(x)$  inc [ABOVE X-axis] MAX  $x = -2$   
 $(-\infty, -2)$   $(0, 1)$   $x = 1$   
 dec [BELOW X-axis] MIN  $x = 0$   
 $(-2, 0)$   $(1, \infty)$

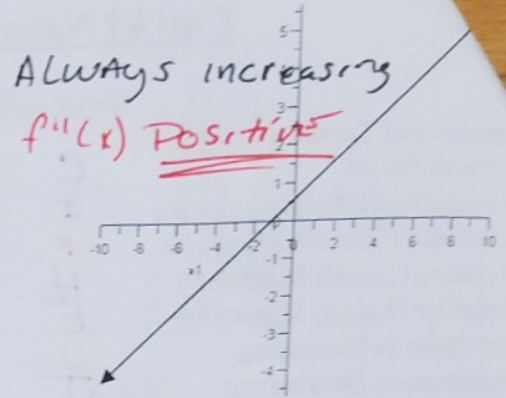


Ex2) Given the graph of  $f'$ , identify the following for  $f$ :

1. Maximums
2. Minimums
3. Intervals increasing/decreasing
4. Inflection points
5. Concavity

$f(x)$  DEC  $(-\infty, -1)$   
 INC  $(-1, \infty)$   
 Minimum @  $x = -1$

CONCAVE UP  
 $(-\infty, \infty)$   
 NO P.O.I  
 NO MAX



Ex3) Find all extrema on the given intervals:

a)  $f(x) = x^3 - 6x + 5$   $[-2, 3]$   
 $f'(x) = 3x^2 - 6$

b)  $f(x) = 3x^{2/3}$   $[-1, 2]$   
 $f'(x) = \frac{2}{\sqrt[3]{x}}$

c)  $f(x) = \frac{1}{\sqrt{4-x^2}}$   $(-\infty, \infty)$   
 $f'(x) = \frac{x}{(4-x^2)^{3/2}}$

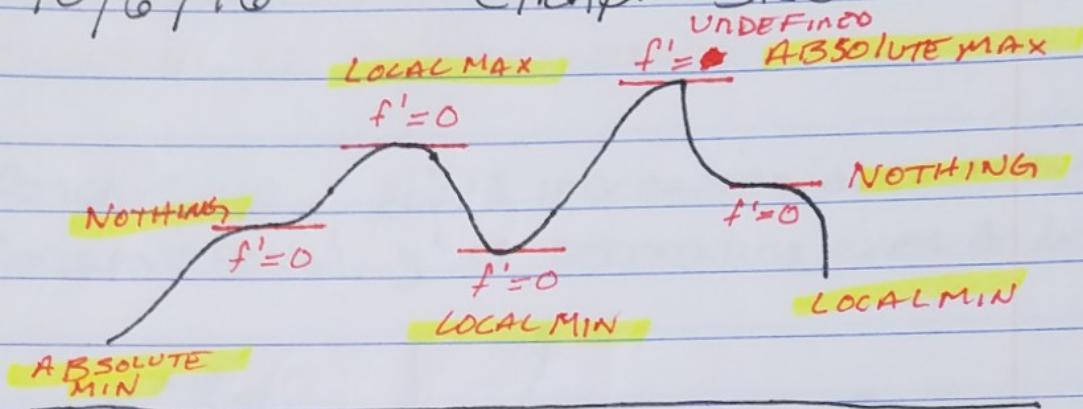
Ex4) Find all the intervals where  
 $f(x) = 4x^3 - 3x^2 - 18x + 6$  is increasing  
 & all intervals where  $f$  is decreasing.  
 $f'(x) = 12x^2 - 6x - 18$

Ex5) Find the relative extrema of  $y = \sin(x) - 2\cos(x)$   
 in the interval  $[0, 2\pi]$ . Justify your answer. CALCULATOR  
 $y' = \cos x + 2\sin x$

Ex6) Find the points of inflection for  
 $g(x) = 3x^4 - 8x^3 + 6x^2$ . Justify your answer.  
 $g'(x) = 12x^3 - 24x^2 + 12x$

10/6/16

## Graph SKETCHING



FIRST DERIVATIVE TEST [RISES AND FALLS]  
 $f$  IS CONTINUOUS

IF  $f'$  CHANGES FROM (+) TO (-) @  $c$ ,  
THEN  $f$  HAS A LOCAL MAXIMUM @  $c$

IF  $f'$  CHANGES FROM (-) TO (+) @  $c$ ,  
THEN  $f$  HAS A LOCAL MINIMUM @  $c$ .

IF  $f'$  DOES NOT CHANGE SIGN @  $c$ ,  
THEN  $f$  DOES NOT HAS AN EXTREME VALUE @  $c$

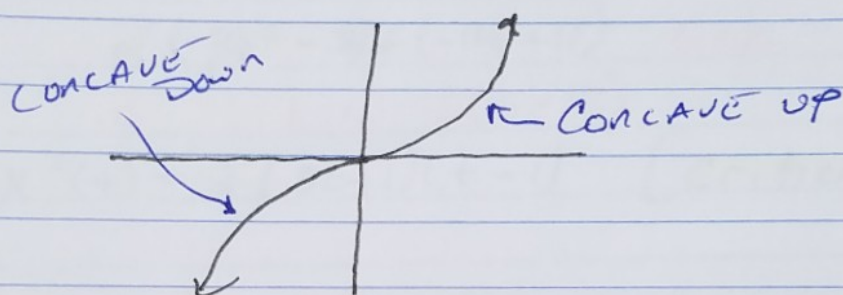
@ AN ENDPOINT

IF  $f' < 0$  OR  $f' > 0$  FOR  $\forall x > a$ , THEN  $f$  HAS  
A LOCAL MAXIMUM / MINIMUM @  $a$

## CONCAVITY

function is differentiable

CONCAVE UP  $y'$  IS INCREASING OVER AN INTERVAL  
CONCAVE DOWN  $y'$  IS DECREASING OVER AN INTERVAL



$f$  IS CONCAVE UP IF  $y'' > 0$   
 $f$  IS CONCAVE DOWN IF  $y'' < 0$

POINT OF INFLECTION [POI] NO NOT A HAWAIIAN FOOD  
THIS OCCURS WHEN CONCAVITY CHANGES.

SECOND DERIVATIVE TEST FOR

IF  $f'(c) = 0$  AND  $f''(c) > 0$  LOCAL MINIMUM

IF  $f'(c) = 0$  AND  $f''(c) < 0$  LOCAL MAXIMUM

Example  $x(t) = 2t^3 - 14t^2 + 22t - 5, t \geq 0$

$x'(t) = 6t^2 - 28t + 22$  FACTOR IT

$2(3t^2 - 14t + 11)$

$\underbrace{\hspace{10em}}_{33}$

$-3 \quad -11$

$2(3t^2 - 3t + (-11t + 11))$  GCF

$2(3t(t-1) - 11(t-1))$

$x'(t) = 2(3t-11)(t-1)$  [CRITICAL POINTS]

$x''(t) = 12t - 28$  FACTOR AGAIN

$4(3t-7)$

$x''(t) = 4(3t-7)$  [CONCAVITY]

$x'(t) = 2(3t-11)(t-1)$

$x''(t) = 4(3t-7)$

$0 = 2(3t-11)(t-1)$

$0 = 4(3t-7)$

$3t-11=0 \quad t-1=0$

$3t-7=0$

$t = \frac{11}{3} \quad t = 1$

$t = \frac{7}{3}$

- (Dec) CONCAVE DOWN } + (INC) CONCAVE UP

+ (INC)

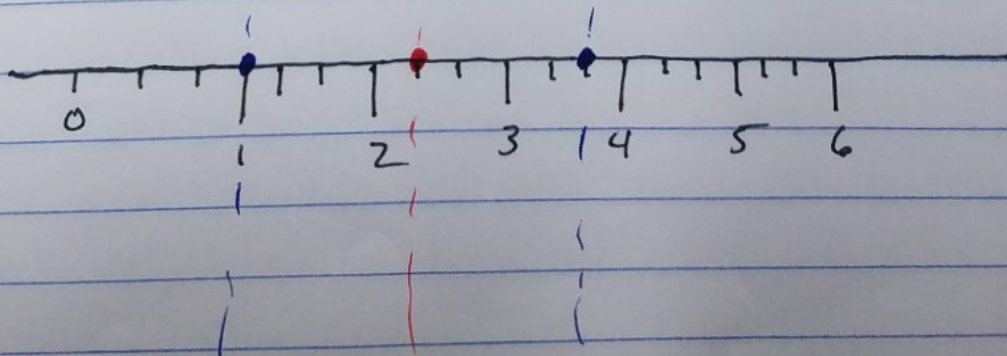
- (DEC)

+ (INC)

TEST POINTS

of  $x'(t)$

$x''(t)$



EX. 3 Find all extrema

$$f(x) = x^3 - 6x + 5 \quad [-2, 3]$$

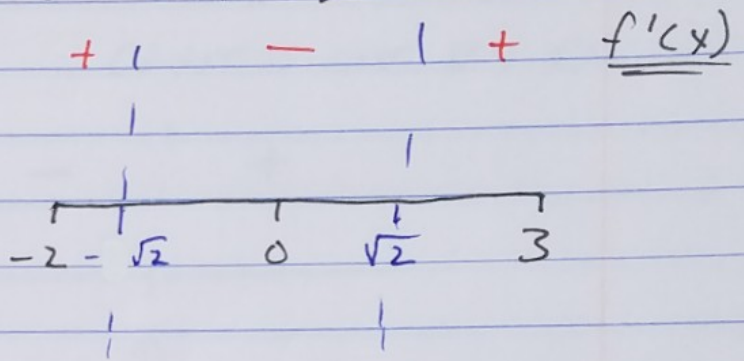
$$f'(x) = 3x^2 - 6$$

$$0 = 3x^2 - 6$$

$$6 = 3x^2$$

$$2 = x^2$$

$$\pm\sqrt{2} = x$$



$(-\sqrt{2}, -0.657)$   
ABS MIN

x	f(x)
-2	9
$-\sqrt{2}$	$(-\sqrt{2})^3 - 6(-\sqrt{2}) + 5 = -0.657$
$\sqrt{2}$	$(\sqrt{2})^3 - 6(\sqrt{2}) + 5 = 10.657$
3	14

$(3, 14)$

ABS MAX

EXAMPLE  $f(x) = 3x^{\frac{2}{3}}$   $[-1, 2]$

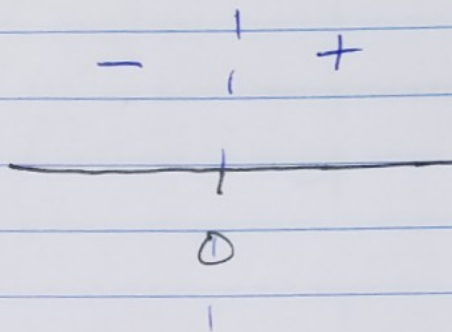
$f'(x) = \frac{2}{3\sqrt{x}}$

$f'(x)$  IS UNDEFINED  $x=0$

CAN'T DIVIDE BY 0.

$0 = \frac{2}{3\sqrt{x}}$

NO WORKIE



x	f(x)
-1	3

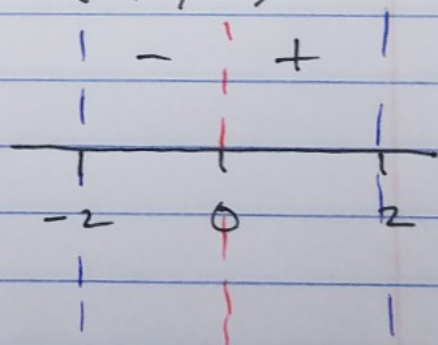
0 0 ABS. MIN (0,0)

2  $3\sqrt[3]{2^2} = 4.762$  (2, 4.762) ABS. MAX.

EXAMPLE  $f(x) = \frac{1}{\sqrt{4-x^2}}$   $(-\infty, \infty)$

$f'(x) = \frac{x}{(4-x^2)^{\frac{3}{2}}}$

$0 = \frac{x}{(4-x^2)^{\frac{3}{2}}}$



$f'(x) = 0$  @  $x = 0$

$f'(x)$  UNDEFINED  $x = \pm 2$

ABSOLUTE MIN  $(0, \frac{1}{2})$

EXAMPLE:  $f(x) = 4x^3 - 3x^2 - 18x + 6$

$$f'(x) = 12x^2 - 6x - 18$$

$$0 = 12x^2 - 6x - 18$$

$$0 = 6(2x^2 - x - 3)$$

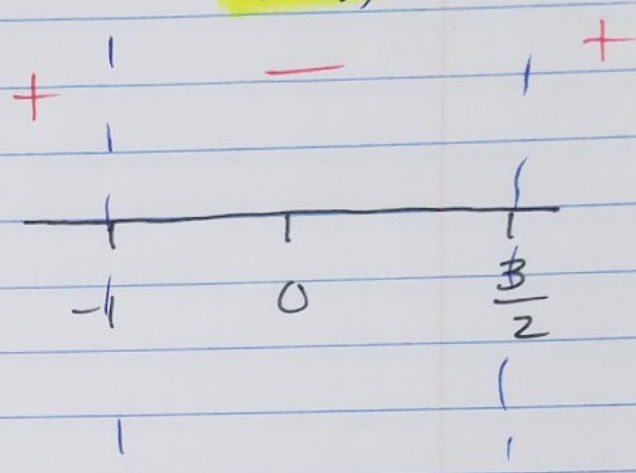
$$2x^2 - x - 3$$

$$\begin{array}{|l} \hline 2x^2 - x - 3 \\ \hline \end{array}$$

$$(2x^2 + 2x) - (3x - 3)$$

$$(2x - 3)(x + 1)$$

$f'(x)$



$$0 = 6(2x - 3)(x + 1)$$

$$0 = 2x - 3 \quad ; \quad x + 1 = 0$$

$$\frac{3}{2} = x$$

$$x = -1$$

Increasing  $(-\infty, -1)$

$(\frac{3}{2}, \infty)$

Decreasing  $(-1, \frac{3}{2})$